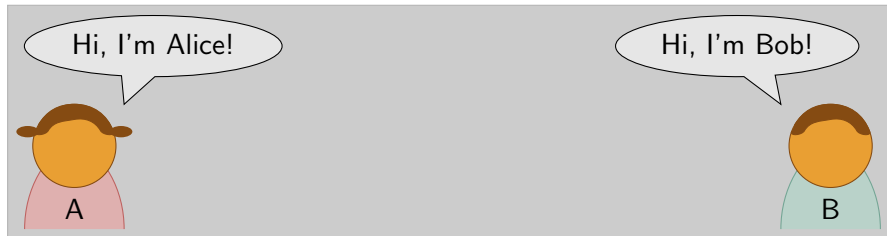


# Probabilistic functions and cryptographic oracles in higher-order logic

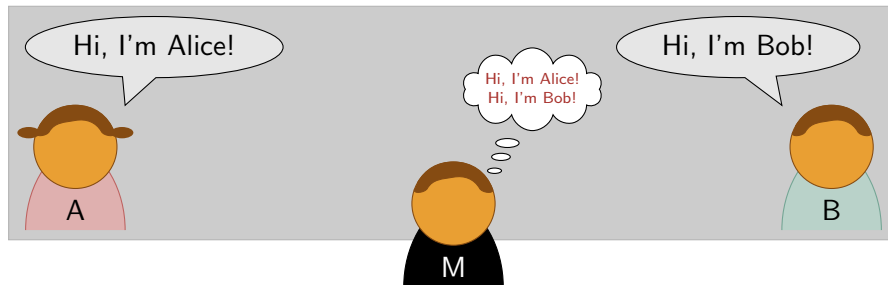
Andreas Lochbihler

Institute of Information Security  
ETH Zurich, Switzerland

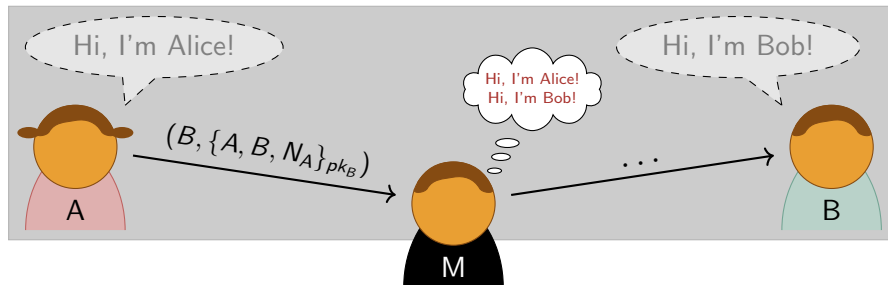
# Computational soundness



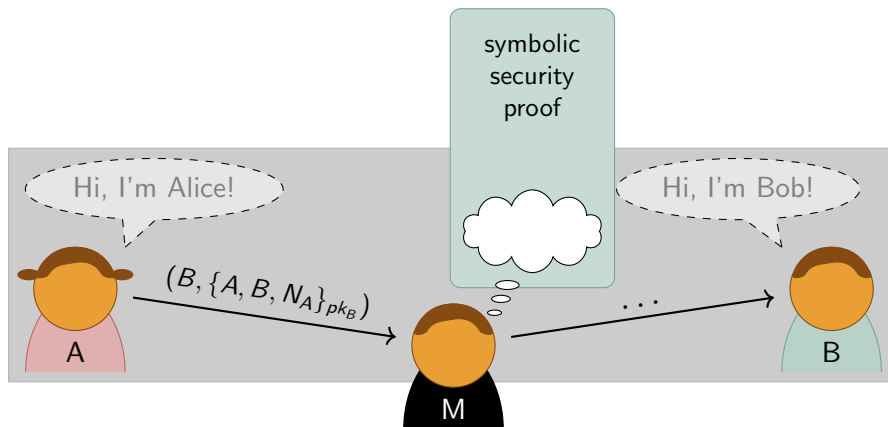
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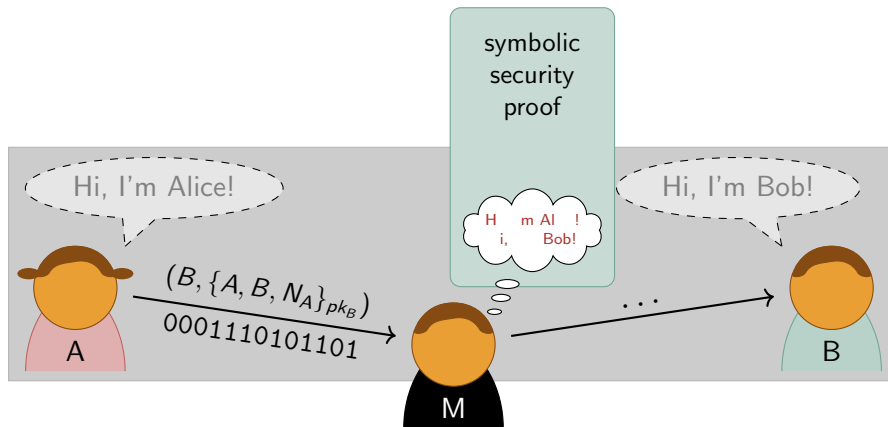
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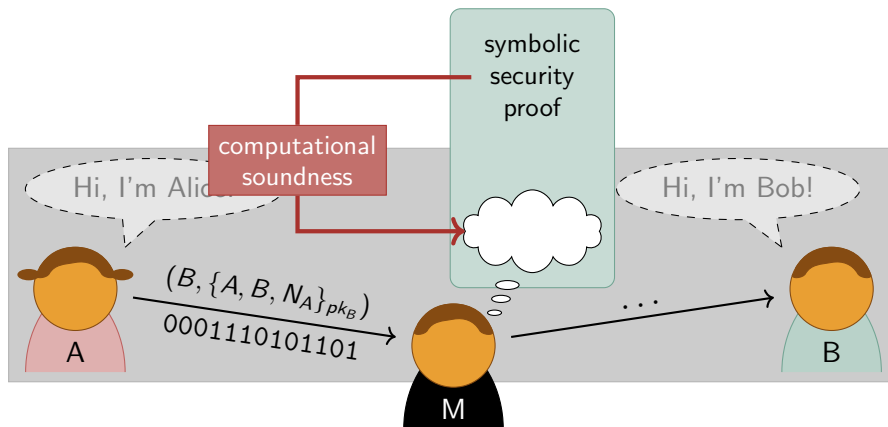
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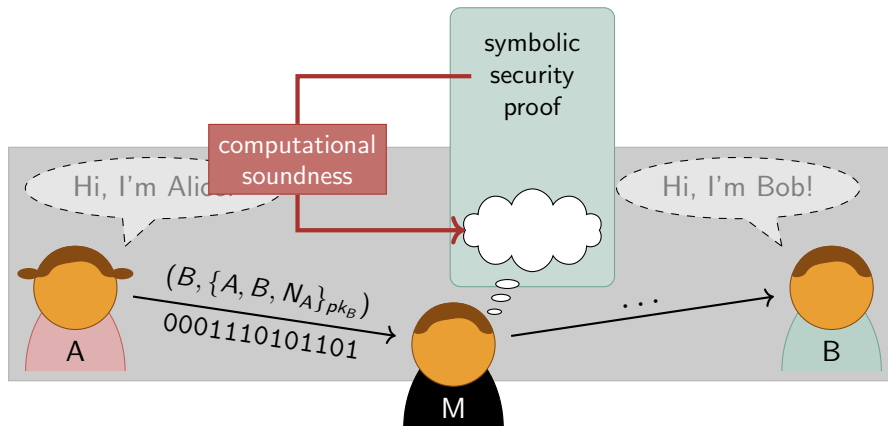
# Computational soundness



# Computational soundness

**Goal:** Obtain cryptographic guarantees for symbolic security proofs by formalising a computational soundness proof.

**This talk:** A framework for formalising computational arguments





# Frameworks for formalising computational arguments

	embedding	symbolic messages	proof automation	trusted base
CertiCrypt	deep	⊖	⊖	⊕ Coq
EasyCrypt	axiomatic	⊖	⊕	⊖ EasyCrypt + SMT
Verypto	deep	⊙	⊖	⊙ Isabelle + axioms
FCF	semi-shallow	⊕	⊖	⊕ Coq
ours	shallow	⊕	⊙	⊕ Isabelle

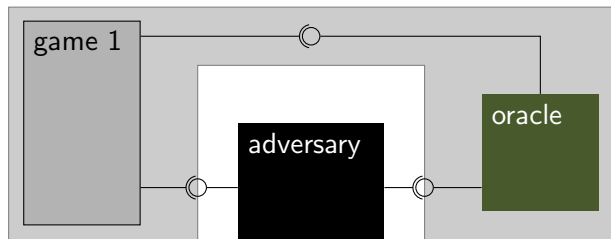
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### Contributions

1. Probabilistic language in higher-order logic (HOL)
  - ▶ new semantic domain
  - ▶ shallow embedding
  - ▶ oracle access, monadic sequencing, exceptions, recursion
2. Systematic way to *find* reasoning rules for language primitives
3. Formalised in Isabelle/HOL and applied to small examples

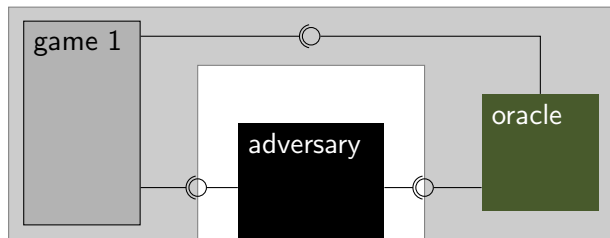
# Structure of computational arguments



## Security:

Probability of winning game 1 is small for any efficient adversary.

# Structure of computational arguments

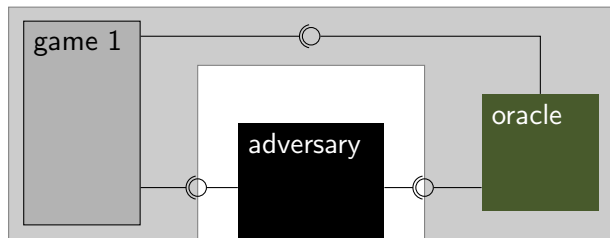


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```
ind-cpa-rom  $\mathcal{A} = \text{try do } \{$   
   $(pk, sk) \leftarrow \text{key-gen};$   
   $b \leftarrow \text{uniform } \{0, 1\};$   
   $(m_0, m_1, \sigma, s_0) \leftarrow \text{exec}(\mathcal{A}.\text{gen}(pk), \text{rom}, \emptyset);$   
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   $c^* \leftarrow \text{aenc}(pk, \text{if } b \text{ then } m_0 \text{ else } m_1);$   
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} \text{ else uniform } \{0, 1\}
```

# Structure of computational arguments



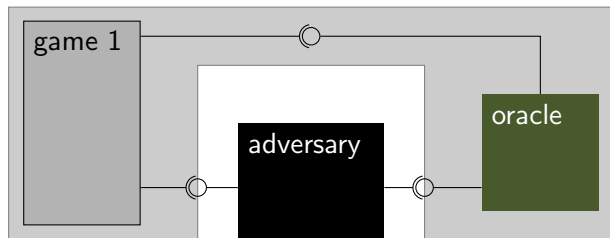
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   $c^* \leftarrow$  aenc( $pk$ , if  $b$  then  $m_0$  else  $m_1$ );  
   $(b', -) \leftarrow$  exec( $\mathcal{A}.guess(c^*, \sigma)$ , rom,  $s_0$ );  
  returnsprob ( $b = b'$ )  
} else uniform  $\{0, 1\}$ 
```

monad

# Structure of computational arguments



## Security:

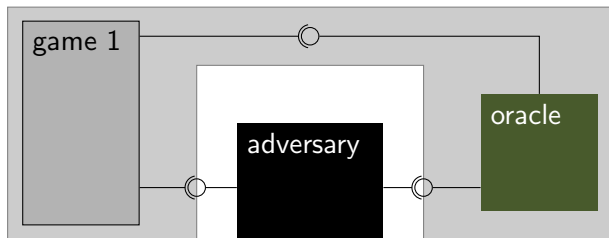
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monad

sampling

# Structure of computational arguments



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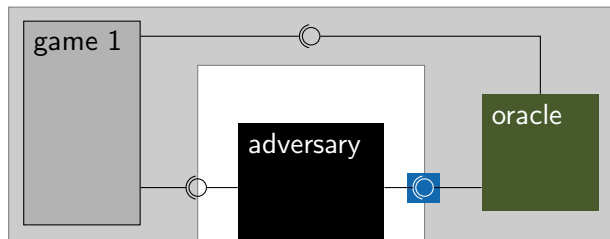
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monad

sampling

assertions and  
error handling

# Structure of computational arguments



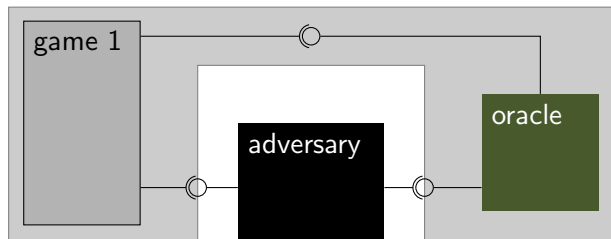
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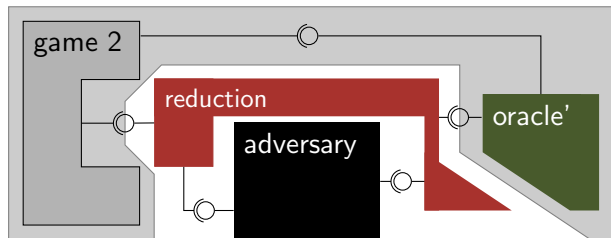
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```



# Structure of computational arguments



⌋ reduction  
↓



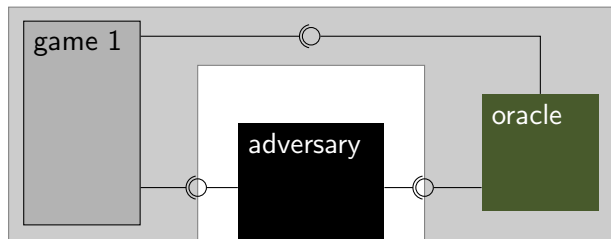
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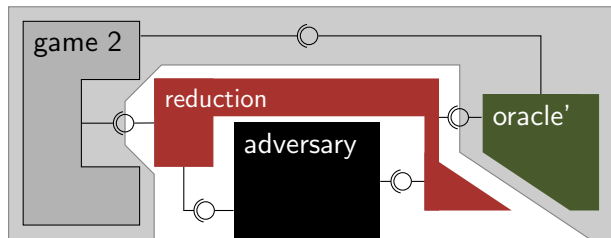
## Assumption:

Probability of winning game 2 is small for any efficient adversary.

# Structure of computational arguments



↕ reduction



**Security theorem:**

Probability of winning game 1 is small for any efficient adversary.

Probability of winning game 2 is small for any efficient adversary.

## Discrete subprobabilities as semantic domain

**typedef**  $\alpha$  sprob =  $\{ f : \alpha \rightarrow \mathbb{R}_0^+ \mid \sum_x f(x) \leq 1 \}$

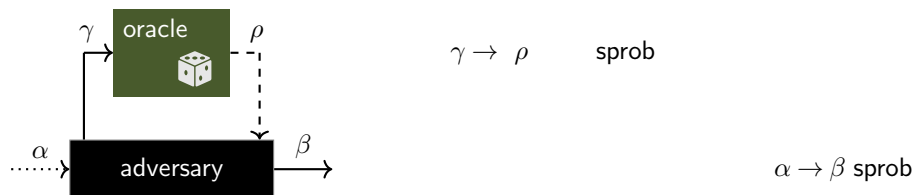
**Theorem:**  $\alpha$  sprob is a chain-complete partial order.

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First attempt to model oracle access:

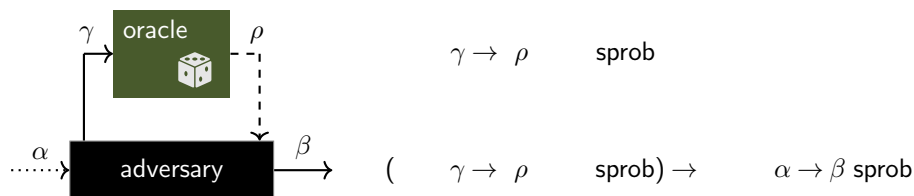


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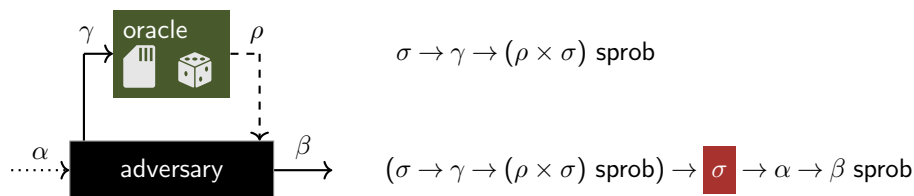


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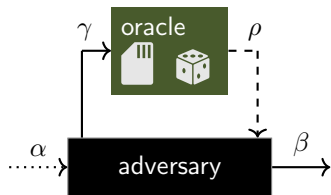


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First attempt to model oracle access:



$\sigma \rightarrow \gamma \rightarrow (\rho \times \sigma)$  sprob ✓

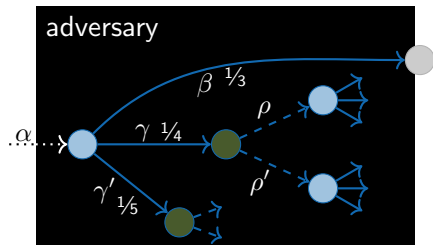
~~$(\sigma \rightarrow \gamma \rightarrow (\rho \times \sigma)$  sprob)  $\rightarrow$   $\sigma \rightarrow \alpha \rightarrow \beta$  sprob~~

# Generative probabilistic values

Second attempt to model oracle access:



oracle:  $\sigma \rightarrow \gamma \rightarrow (\rho \times \sigma)$  sprob



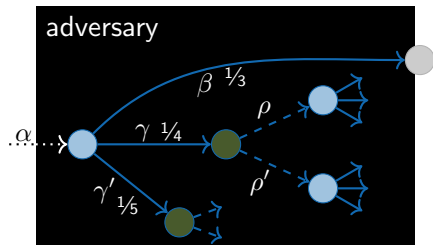


# Generative probabilistic values

Second attempt to model oracle access:



oracle:  $\sigma \rightarrow \gamma \rightarrow (\rho \times \sigma)$  sprob



adversary:  $\alpha \rightarrow \text{gpv}$

$\text{gpv} \cong (\beta + \gamma \times \text{rpv})$  sprob

$\text{rpv} \cong \rho \rightarrow \text{gpv}$

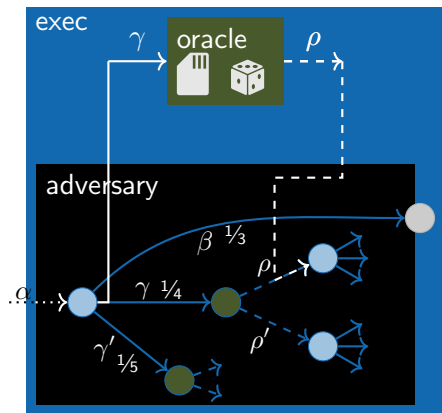
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Gpv (( $\beta + \gamma \times (\rho \Rightarrow \text{gpv})$ ) sprob)

Express operators for sequencing, failure, composition, ...

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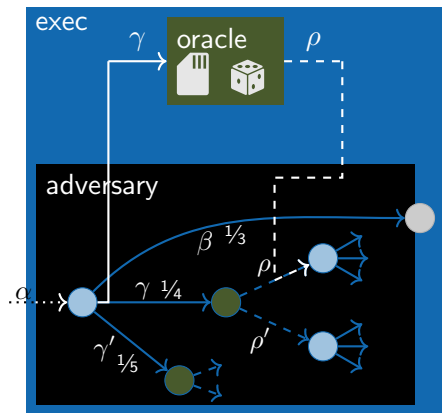
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Express operators for sequencing, failure, **composition**, ...

gpv also used for reductions and games

# Rules for reasoning about game transformations

## Equational reasoning

- ▶ Shallow embedding supports many equalities
- ▶ Example: commutativity for `spmf`

$$\begin{array}{l} \mathbf{do} \{ \\ \quad x \leftarrow ppp; \\ \quad y \leftarrow q; \\ \quad f(x, y) \} \end{array} = \begin{array}{l} \mathbf{do} \{ \\ \quad y \leftarrow q; \\ \quad x \leftarrow ppp; \\ \quad f(x, y) \} \end{array}$$

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## Relational reasoning

- ▶ Lift relation  $A$  on outcomes to relation  $\uparrow A \uparrow$  on sprobs or gpvs
- ▶ Example: sequencing

$$\frac{p \uparrow A \uparrow q \quad \forall (x, y) \in A. f(x) \uparrow B \uparrow g(y)}{(\mathbf{do} \{x \leftarrow p; f(x)\}) \uparrow B \uparrow (\mathbf{do} \{y \leftarrow q; g(y)\})}$$

# How to find relational rules

## Shape of rule determined by operator type

$$\text{bind}_{\text{sprob}} : \alpha \text{ sprob} \Rightarrow (\alpha \Rightarrow \beta \text{ sprob}) \Rightarrow \beta \text{ sprob}$$
$$\uparrow A \uparrow_{\text{sprob}} \Rightarrow (A \Rightarrow \uparrow B \uparrow_{\text{sprob}}) \Rightarrow \uparrow B \uparrow_{\text{sprob}}$$

## Replace types by relations

# How to find relational rules

## Shape of rule determined by operator type

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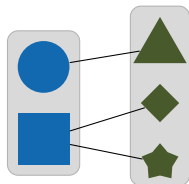
Used this approach to find rules for new operators, e.g.

$$\frac{\mathcal{A}_1 \uparrow A \uparrow_{\text{gpv}} \mathcal{A}_2 \quad \mathcal{O}_1 (S \Rightarrow (=) \Rightarrow \uparrow(=) \times S \uparrow_{\text{sprob}}) \mathcal{O}_2 \quad \sigma_1 S \sigma_2}{\text{exec}(\mathcal{A}_1, \mathcal{O}_1, \sigma_1) \uparrow A \times S \uparrow_{\text{sprob}} \text{exec}(\mathcal{A}_2, \mathcal{O}_2, \sigma_2)}$$

# Sampling is almost parametric

$$\mathcal{P}_{\text{uniform } \Omega}(X) = \frac{|X|}{|\Omega|}$$

uniform :  $\alpha$  set  $\Rightarrow$   $\alpha$  sprob  
 $\uparrow A \uparrow_{\text{set}} \Rightarrow \uparrow A \uparrow_{\text{sprob}}$

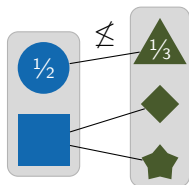


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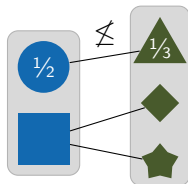
*Wadler, Reynolds: Polymorphic equality is not parametric!*

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*Wadler, Reynolds: Polymorphic equality is not parametric!*

A must respect equality!

$$\frac{(\text{=}) (A \Rightarrow A \Rightarrow \text{rel}_{\text{bool}}) (\text{=})}{\text{uniform } (\uparrow A \uparrow_{\text{set}} \Rightarrow \uparrow A \uparrow_{\text{sprob}}) \text{uniform}}$$

$$\frac{A \text{ is bijection between } \Omega_1 \text{ and } \Omega_2}{\text{uniform } \Omega_1 \uparrow A \uparrow_{\text{sprob}} \text{uniform } \Omega_2}$$

Special case: one-time pad

$$\text{map}_{\text{sprob}} (\text{xor } m) (\text{uniform } \{0, 1\}^n) = \text{uniform } \{0, 1\}^n$$

# Application examples

Used framework to verify 3 cryptographic constructions.

Line counts of proof of concrete security theorem

Cryptographic construction	Framework			
	ours	CertiCrypt	EasyCrypt	FCF
Elgamal	52	238	58	156
Hashed Elgamal (ROM)	236	810	210	
PRF-IND-CPA	352			1166

# Summary

- ▶ Probabilistic language with oracles in HOL

$$\text{gpv} \cong (\beta + \gamma \times \text{rpv}) \text{ sprob}$$

$$\text{rpv} \cong \rho \rightarrow \text{gpv}$$

- ▶ Relational parametricity yields reasoning principles

$$\text{uniform} : \alpha \text{ set} \Rightarrow \alpha \text{ sprob}$$

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- ▶ Shallow embedding yields short proofs

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- ▶ Shallow embedding yields short proofs

## Next steps

- ▶ Formalise a computational soundness proof in the framework
- ▶ Explore the connection between parametricity and other relational program logics